

## Item 1- Cover Page

### **Part 2B of Form ADV: *Brochure Supplement***

Richard J. Anzelone, J.D.  
Managing Partner and Chief Compliance Officer



**StrategicPoint**  
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May 3, 2023

***This Brochure Supplement provides information about Richard Anzelone that supplements the Brochure of StrategicPoint Investment Advisors, LLC ("SPIA"). You should have received a copy of that Brochure. Please contact Richard Anzelone, Managing Partner and Chief Compliance Officer (401- 273-1500 X 102 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com)) if you did not receive StrategicPoint Investment Advisors' Brochure or if you have any questions about the contents of this supplement.***

***Additional information about Richard J. Anzelone is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).***

## **Item- 2- Educational Background and Business Experience**

### **Educational Background:**

- Date of Birth: 5/2/1964
- Bryant University, Bachelor of Science in Finance, 1986
- Creighton University School of Law, Juris Doctorate, 1993

### **Business Experience:**

Richard J. Anzelone, J.D., Managing Partner and Chief Compliance Officer, also serves as Senior Financial Advisor, providing investment advice and wealth management to clients. He holds a BS in Finance from Bryant University and a Juris Doctorate degree from Creighton University School of Law. Rick is a member of the Rhode Island Bar Association, holds the FINRA Series 66 license and RI, MA and NC Life and Health Insurance Producers Licenses.

Rick is a member of StrategicPoint's Portfolio Management Committee, which directs the firm's investment strategies. He started his career at Pratt and Whitney Aircraft Corporation in finance and later worked for Textron Financial Corporation in leveraged commercial equipment leasing. In 1993 he graduated from Creighton University law school and practiced law in Nebraska and Rhode Island in the areas of estate planning, business law and bankruptcy law prior to joining StrategicPoint in December of 1999.

Rick is a member of the Diocesan Accounting and Audit Advisory Committee and serves as Chairman of the St. Pius School board. He lives with his wife, Jennifer, in Providence with their two children.

## **Item 3- Disciplinary Information**

There are no legal or disciplinary events for Richard J. Anzelone

## **Item 4- Other Business Activities**

Richard Anzelone is also a licensed insurance professional, and as such, is also in the business of offering insurance products. The sale of insurance products will generate standard and customary insurance commissions which are paid to Focus Risk Solutions ("FRS"), an affiliate of SPIA. The receipt of compensation for the sale of insurance products presents a conflict of interest because the compensation provides an incentive to recommend insurance for the purpose of being compensated rather than solely based on your needs. As a fiduciary, Richard Anzelone has a duty to make recommendations he reasonably believes are in your best interest. He mitigates the conflict of interest related to his recommendation through disclosure and believes his recommendation is appropriate when the conflict of interest is disclosed. However, he does not consider the commissions paid to FRS but rather adheres to an investment discipline considering your overall financial situation. You are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with SPIA.

## **Item 5-Additional Compensation**

Richard Anzelone does not receive any additional compensation, nor does he receive a bonus that is based on the number or amount of new accounts, sales or client referrals.

Richard Anzelone may receive directly or indirectly other compensation from Focus Financial Partners, LLC, the parent company of StrategicPoint Investment Advisors, LLC based on the profits of StrategicPoint Investment Advisors. This compensation is derived from the overall revenue of the firm, which consists of asset under management fees, financial planning fees and commissions from insurance products.

## **Item 6- Supervision**

Investment decisions for all SPIA clients are made by members of the Portfolio Management Committee. SPIA's Portfolio Management Committee is led by Betsey A. Purinton, Managing Partner and Co-Chief Investment Officer and also includes Richard J. Anzelone, Managing Partner and CCO, Derek M. Amey, Managing Partner and Co-Chief Investment Officer, Aaron Reynolds, Associate Portfolio Manager, and Kristina Mello, Senior Financial Advisor and Director of Financial Planning. The Portfolio Management Committee generally meets weekly to discuss existing and prospective investments. Investments are evaluated independently as well as in the context of clients' existing holdings and sector exposures.

Richard Anzelone is supervised by Betsey A. Purinton, Managing Partner and Co-CIO. Ms. Purinton can be reached at (401) 273-1500 or [bpurinton@strategicpoint.com](mailto:bpurinton@strategicpoint.com).

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### **Part 2B of Form ADV: *Brochure Supplement***

Betsey A. Purinton, CFP®  
Managing Partner and Co-Chief Investment Officer



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September 11, 2023

***This Brochure Supplement provides information about Betsey Purinton that supplements the Brochure of StrategicPoint Investment Advisors, LLC ("SPIA"). You should have received a copy of that Brochure. Please contact Richard Anzelone, Managing Partner and Chief Compliance Officer (401- 273-1500 X 102 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com)) if you did not receive StrategicPoint Investment Advisors' Brochure or if you have any questions about the contents of this supplement.***

***Additional information about Betsey Purinton is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).***

## **Item- 2- Educational Background and Business Experience**

### **Educational Background:**

- Date of Birth: 1/24/1950
- University of Colorado, BA in Economics, 1972
- University of Colorado, MA in Economics, 1975
- Bryant University, Certificate in Financial Planning, 2001

### **Professional Certification:**

CERTIFIED FINANCIAL PLANNER™ practitioner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

### **Business Experience:**

Betsey A. Purinton, CFP®, Managing Partner and Co-Chief Investment Officer, holds a BA and MA in Economics from the University of Colorado, where she was elected to Phi Beta Kappa. She has a Certificate in Financial Planning from Bryant University and is a CERTIFIED FINANCIAL PLANNER™ practitioner. Betsey holds the FINRA Series 65 license and RI and MA Life and Health Insurance Producers Licenses. She has been with the firm since 2001.

In addition to her administrative responsibilities, Betsey serves as Senior Financial Advisor, providing investment advice and wealth management to clients. She specializes in women's planning issues and retirement decision making. As Co-Chief Investment Officer, Betsey oversees the company's Portfolio Management Committee, which directs the firm's investment strategies. Betsey has also served as Director of Financial Planning for the company.

Betsey currently serves on the Board of Directors for the United Way of Rhode Island (UWRI) where she is Co-Chair of the Strategic Planning Committee and a member of Women United. UWRI is a non-profit organization dedicated to uniting our community and resources to build racial equity and opportunities for all Rhode Islanders. Betsey has also served as President, Chairman and Membership Director of The Financial Planning Association of Rhode Island, a non-profit organization that fosters the value of financial planning and advances the financial planning profession.

Prior to her career in the financial services industry, Betsey was a teacher and school administrator in Colorado and New York. She lives with her husband, James English, in Providence. Their three children currently reside in Philadelphia, Salt Lake City and Ames, Iowa.

### **Item 3- Disciplinary Information**

There are no legal or disciplinary events for Betsey Purinton.

### **Item 4- Other Business Activities**

Betsey Purinton is also a licensed insurance professional, and as such, is also in the business of offering insurance products. The sale of insurance products will generate standard and customary insurance commissions which are paid to Focus Risk Solutions ("FRS"), an affiliate of SPIA. The receipt of compensation for the sale of insurance products presents a conflict of interest because the compensation provides an incentive to recommend insurance for the purpose of being compensated rather than solely based on your needs. As a fiduciary, Betsey Purinton has a duty to make recommendations she reasonably believes are in your best interest. She mitigates the conflict of interest related to her recommendation through disclosure and believes her recommendations are appropriate when the conflict of interest is disclosed. However, she does not consider the commissions paid to FRS but rather adheres to an investment discipline considering your overall financial situation. You are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with SPIA.

### **Item 5-Additional Compensation**

Betsey Purinton receives compensation from Focus Financial Partners, LLC, the parent company of StrategicPoint Investment Advisors, LLC for her management responsibilities and her role as Senior Financial Advisor with regards to StrategicPoint Investment Advisors. The compensation represents a portion of revenue to StrategicPoint Investment Advisors which is derived from asset under management fees, financial planning fees and commissions from insurance products.

Betsey Purinton may receive directly or indirectly other compensation from Focus Financial Partners, LLC, the parent company of StrategicPoint Investment Advisors, LLC based on the profits of StrategicPoint Investment Advisors. This compensation is derived from the overall revenue of the firm, which consists of asset under management fees, financial planning fees and commissions from insurance products.

### **Item 6- Supervision**

Investment decisions for all SPIA clients are made by members of the Portfolio Management Committee. SPIA's Portfolio Management Committee is led by Betsey Purinton, Managing Partner and Co-Chief Investment Officer and also includes Richard J. Anzelone, Managing Partner and CCO, Derek Amey, Managing Partner and Co-Chief Investment Officer, Aaron Reynolds, Associate Portfolio Manager, and Kristina Mello, Senior Financial Advisor and Director of Financial Planning. The Portfolio Management Committee generally meets weekly to discuss existing and prospective investments. Investments are evaluated independently as well as in the context of clients' existing holdings and sector exposures.

Betsey Purinton is supervised by Richard J. Anzelone, Managing Partner and CCO in certain capacities. Richard Anzelone periodically reviews aspects of Betsey's work with respect to complying with securities laws, rules and regulations. Richard Anzelone can be reached at (401) 273-1500 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com).

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### **Part 2B of Form ADV: *Brochure Supplement***

Derek M. Amey  
Managing Partner and Co-Chief Investment Officer



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May 3, 2023

***This Brochure Supplement provides information about Derek Amey that supplements the Brochure of StrategicPoint Investment Advisors, LLC ("SPIA"). You should have received a copy of that Brochure. Please contact Richard Anzelone, Managing Partner and Chief Compliance Officer (401- 273-1500 X 102 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com)) if you did not receive StrategicPoint Investment Advisors' Brochure or if you have any questions about the contents of this supplement.***

***Additional information about Derek M. Amey is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).***



## **Item- 2- Educational Background and Business Experience**

### **Educational Background:**

- Date of Birth: 9/30/1975
- The University of Rhode Island, BS in Business Administration, 1997

### **Business Experience:**

Derek Amey, Managing Partner, serves as Co-Chief Investment Officer as well as Senior Financial Advisor at StrategicPoint, providing investment advice and wealth management to clients. As Co-Chief Investment Officer, Derek oversees the company's Portfolio Management Committee, which directs the firm's investment strategies. He has been with the firm since 2001.

Derek is a graduate of The University of Rhode Island with a Bachelor of Science Degree in Business Administration. He holds the FINRA Series 66 license. His background includes experience as a Trading Desk Supervisor at Suretrade, Inc. and an Online Product Support Specialist at Quick & Reilly, Inc.

Derek is the co-host of StrategicPoint's podcast, *The Novice and The Nerd*. To learn more about Derek, his career path and his approach to advising clients, watch his interview on "Eye Openers" with Brittany Drozd on StrategicPoint.com. He often appears as a guest on "State of the State", a public access television show produced in Rhode Island.

He lives with his wife and two sons in North Kingstown, RI.

## **Item 3-Disciplinary Information**

There are no legal or disciplinary events for Derek Amey

## **Item 4-Other Business Activities**

There are no other business activities for Derek Amey.

## **Item 5-Additional Compensation**

Derek Amey does not receive any additional compensation, nor does he receive a bonus that is based on the number or amount of new accounts, sales or client referrals.

Derek Amey may receive directly or indirectly other compensation from Focus Financial Partners, LLC, the parent company of StrategicPoint Investment Advisors, LLC based on the profits of StrategicPoint Investment Advisors. This compensation is derived from the overall revenue of the firm, which consists of asset under management fees, financial planning fees and commissions from insurance products.

## **Item 6- Supervision**

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Derek Amey is supervised by Managing Partner Betsey A. Purinton. She can be reached at (401) 273-1500 or [bpurinton@strategicpoint.com](mailto:bpurinton@strategicpoint.com).

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### **Part 2B of Form ADV: *Brochure Supplement***

Sean M. Giles  
Senior Financial Advisor



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May 3, 2023

***This Brochure Supplement provides information about Sean Giles that supplements the Brochure of StrategicPoint Investment Advisors, LLC ("SPIA"). You should have received a copy of that Brochure. Please contact Richard Anzelone, Partner and Chief Compliance Officer (401-273-1500 X 102 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com)) if you did not receive StrategicPoint Investment Advisors' Brochure or if you have any questions about the contents of this supplement.***

***Additional information about Sean M. Giles is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).***

## **Item- 2- Educational Background and Business Experience**

### **Educational Background:**

- Date of Birth: 3/31/1969
- Secondary Education: None

### **Business Experience:**

Sean Giles serves as Senior Financial Advisor, providing financial planning and investment advice to new and existing clients. Sean is also responsible for building client relationships and facilitating client service needs.

Sean has been with StrategicPoint in various roles over the last 25 years, with the last 16 as a Financial Advisor. He has previously held positions as Client Service Manager, Senior Relationship Manager and Director of Sales. Sean holds the FINRA Series 65 license, as well as RI, MA, FL, NH, CO and VA Life and Health Insurance Producers Licenses.

Sean lives with his family in the East Bay.

## **Item 3-Disciplinary Information**

There are no legal or disciplinary events for Sean Giles.

## **Item 4- Other Business Activities**

Sean Giles is also a licensed insurance professional, and as such, is also in the business of offering insurance products. The sale of insurance products will generate standard and customary insurance commissions which are paid to Focus Risk Solutions ("FRS"), an affiliate of SPIA. For the sale of some insurance products, Sean Giles will be paid up to 70% of the commissions received by FRS. The receipt of compensation for the sale of insurance products presents a conflict of interest because the compensation provides an incentive to recommend insurance for the purpose of being compensated rather than solely based on your needs. As a fiduciary, Sean Giles has a duty to make recommendations he reasonably believes are in your best interest. He mitigates the conflict of interest related to his recommendation through disclosure and believes his recommendations are appropriate when the conflict of interest is disclosed. However, he does not consider the commissions paid to FRS, nor himself, but rather adheres to an investment discipline considering your overall financial situation. You are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with SPIA.

### **Item 5-Additional Compensation**

Sean Giles receives compensation for generating new clients. The compensation is based on a percentage of the investment management fee paid by the client.

As mentioned above in Item 4, for the sale of some insurance products, Sean Giles will be paid up to 70% of the commissions received by FRS.

### **Item- 6- Supervision**

Investment decisions for all SPIA clients are made by members of the Portfolio Management Committee. SPIA's Portfolio Management Committee is led by Betsey A. Purinton, Managing Partner and Co-Chief Investment Officer and also includes Richard J. Anzelone, Managing Partner and CCO, Derek M. Amey, Managing Partner and Co-Chief Investment Officer, Aaron C. Reynolds, Associate Portfolio Manager, and Kristina Mello, Senior Financial Advisor and Director of Financial Planning. The Portfolio Management Committee generally meets weekly to discuss existing and prospective investments. Investments are evaluated independently as well as in the context of clients' existing holdings and sector exposures.

Sean Giles is supervised by Managing Partners Richard Anzelone and Betsey Purinton. They can be reached at (401) 273-1500 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com) and [bpurinton@strategicpoint.com](mailto:bpurinton@strategicpoint.com), respectively.

## Item 1- Cover Page

### **Part 2B of Form ADV: *Brochure Supplement***

Tara L. Budlong  
Client Service Manager and Advisor



**StrategicPoint**  
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May 3, 2023

***This Brochure Supplement provides information about Tara Budlong that supplements the Brochure of StrategicPoint Investment Advisors, LLC ("SPIA"). You should have received a copy of that Brochure. Please contact Richard Anzelone, Partner and Chief Compliance Officer (401-273-1500 X 102 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com)) if you did not receive StrategicPoint Investment Advisors' Brochure or if you have any questions about the contents of this supplement.***

***Additional information about Tara Budlong is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).***

## **Item- 2- Educational Background and Business Experience**

### **Educational Background:**

- Date of Birth: 1/16/1978
- Secondary Education: None

### **Business Experience:**

Tara Budlong serves as Client Service Manager and Advisor, providing support to StrategicPoint's Advisory team. She is responsible for assisting clients and facilitating their service needs, including managing and advising her own clients. She has been with StrategicPoint since 2011.

Tara has over 20 years of experience as a client service representative, including experience at Putnam Investments as well as Columbia Management. She holds the FINRA Series 65 license, as well as RI, MA and CT Life and Health Insurance Producers Licenses.

## **Item 3-Disciplinary Information**

There are no legal or disciplinary events for Tara Budlong.

## **Item 4- Other Business Activities**

Tara Budlong is a licensed insurance professional, and as such, is also in the business of offering insurance products. The sale of insurance products will generate standard and customary insurance commissions which are paid to Focus Risk Solutions ("FRS"), an affiliate of SPIA. For the sale of some insurance products, Tara Budlong will be paid up to 70% of the commissions received by FRS. The receipt of compensation for the sale of insurance products presents a conflict of interest because the compensation provides an incentive to recommend insurance for the purpose of being compensated rather than solely based on your needs. As a fiduciary, Tara Budlong has a duty to make recommendations she reasonably believes are in your best interest. She mitigates the conflict of interest related to her recommendation through disclosure and believes her recommendations are appropriate when the conflict of interest is disclosed. However, she does not consider the commissions paid to FRS, nor herself, but rather adheres to an investment discipline considering your overall financial situation. You are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with SPIA.

## **Item 5-Additional Compensation**

Tara Budlong receives compensation for generating new clients. The compensation is based on a percentage of the investment management fee paid by the client.

As mentioned above in Item 4, for the sale of some insurance products, Tara Budlong will be paid up to 70% of the commissions received by FRS.

## **Item- 6- Supervision**

Investment decisions for all SPIA clients are made by members of the Portfolio Management Committee. SPIA's Portfolio Management Committee is led by Betsey A. Purinton, Managing Partner and Co-Chief Investment Officer and also includes Richard J. Anzelone, Managing Partner and CCO, Derek M. Amey, Managing Partner and Co-Chief Investment Officer, Aaron C. Reynolds, Asset Allocation Specialist, and Kristina M. Mello, Senior Financial Advisor and Director of Financial Planning. The Portfolio Management Committee generally meets weekly to discuss existing and prospective investments. Investments are evaluated independently as well as in the context of clients' existing holdings and sector exposures.

Tara Budlong is supervised by Managing Partners Richard J. Anzelone, Derek M. Amey and Betsey A. Purinton. They can be reached at (401) 273-1500 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com), [damey@strategicpoint.com](mailto:damey@strategicpoint.com) and [bpurinton@strategicpoint.com](mailto:bpurinton@strategicpoint.com), respectively.



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### **Part 2B of Form ADV: *Brochure Supplement***

Aaron C. Reynolds, CFA®  
Associate Portfolio Manager



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May 3, 2023

***This Brochure Supplement provides information about Aaron Reynolds that supplements the Brochure of StrategicPoint Investment Advisors, LLC ("SPIA"). You should have received a copy of that Brochure. Please contact Richard Anzelone, Partner and Chief Compliance Officer (401-273-1500 X 102 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com)) if you did not receive StrategicPoint Investment Advisors' Brochure or if you have any questions about the contents of this supplement.***

***Additional information about Aaron Reynolds is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).***

## **Item- 2- Educational Background and Business Experience**

### **Educational Background:**

- Date of Birth: 11/11/1985
- Secondary Education: University of Rhode Island, Bachelor of Arts in History, Political Science, minor in Classical Civilization
- CFA Institute, Chartered Financial Analyst® (CFA®) Designation: 2017

**Professional Certification:** Chartered Financial Analyst® (CFA®) Designation. The Chartered Financial Analyst (CFA) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute- the largest global association of investment professionals. To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least four years of qualified professional investment experience; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

### **High Ethical Standards**

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

### **Global Recognition**

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders—often making the charter a prerequisite for employment. Additionally, regulatory bodies in over 30 countries and territories recognize the CFA charter as a proxy for meeting certain licensing requirements, and more than 125 colleges and universities around the world have incorporated a majority of the CFA Program curriculum into their own finance courses.

### **Comprehensive and Current Knowledge**

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession. The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed-income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning. The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

## **Business Experience:**

Aaron Reynolds, CFA®, serves as Associate Portfolio Manager. He began his career at StrategicPoint in 2011, and is a member of StrategicPoint's Portfolio Management Committee, which directs the firm's investment strategies.

In his current role, Aaron helps perform due diligence on investments, as well as research recommendations for new asset classes to add to our firm's investment portfolios. Aaron implements various trading strategies instituted by our portfolio management committee and rebalances client portfolios using proprietary and third-party portfolio software.

Aaron is a graduate of The University of Rhode Island with a Bachelor of Arts in History, Political Science and a minor in Classical Civilization. He holds the Chartered Financial Analyst® designation, as well as the FINRA Series 65 license. His background includes experience as a brokerage client service specialist at Citizens Bank. Aaron lives with his family in Warwick, RI.

## **Item 3-Disciplinary Information**

There are no legal or disciplinary events for Aaron Reynolds.

## **Item 4- Other Business Activities**

There are no other business activities for Aaron Reynolds.

## **Item 5-Additional Compensation**

There is no additional compensation for Aaron Reynolds.

## **Item- 6- Supervision**

Investment decisions for all SPIA clients are made by members of the Portfolio Management Committee. SPIA's Portfolio Management Committee is led by Betsey A. Purinton, Managing Partner and Co-Chief Investment Officer and also includes Richard J. Anzelone, Managing Partner and CCO, Derek M. Amey, Managing Partner and Co-Chief Investment Officer, Aaron C. Reynolds, Associate Portfolio Manager, and Kristina M. Mello, Senior Financial Advisor and Director of Financial Planning. The Portfolio Management Committee generally meets weekly to discuss existing and prospective investments. Investments are evaluated independently as well as in the context of clients' existing holdings and sector exposures.

Aaron Reynolds is supervised by Managing Partners Richard J. Anzelone, Derek Amey and Betsey A. Purinton. They can be reached at (401) 273-1500 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com), [damey@strategicpoint.com](mailto:damey@strategicpoint.com) and [bpurinton@strategicpoint.com](mailto:bpurinton@strategicpoint.com), respectively.

## Item 1- Cover Page

### **Part 2B of Form ADV: *Brochure Supplement***

Kristina M. Mello, MBA  
Senior Financial Advisor and Director of Financial Planning



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May 3, 2023

***This Brochure Supplement provides information about Kristina Mello that supplements the Brochure of StrategicPoint Investment Advisors, LLC ("SPIA"). You should have received a copy of that Brochure. Please contact Richard Anzelone, Managing Partner and Chief Compliance Officer (401-273-1500 X 102 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com)) if you did not receive StrategicPoint Investment Advisors' Brochure or if you have any questions about the contents of this supplement.***

***Additional information about Kristina Mello is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).***

## **Item- 2- Educational Background and Business Experience**

### **Educational Background:**

- Date of Birth: 10/5/1985
- Global MBA: Concentration in International Finance, Suffolk University, May 2016
- Secondary Education: Emmanuel College, Double Bachelor of Arts in Global Studies & Spanish, 2007

### **Professional Certification:** Master of Business Administration (MBA)

The Master of Business Administration (MBA) is a graduate degree designed to help people run businesses. The MBA program core courses focus on areas of business such as accounting, finance, marketing, human resources and operations management.

### **Business Experience:**

Kristina Mello serves as Financial Planner and Financial Advisor for StrategicPoint Investment Advisors, working closely with our team to help deliver tailored strategies to our clients' ever-changing needs. Kristina specializes in women's planning issues and retirement decision making, and is a member of StrategicPoint's Portfolio Management Committee, which directs the firm's investment strategies. She is responsible for building client relationships and delivering the highest standard of wealth management while facilitating client service needs.

Prior to joining StrategicPoint, Kristina held various roles at J.P. Morgan, Bank of America and Merrill Lynch, including client service and operations, credit and lending, and practice management.

Kristina graduated from Emmanuel College in 2007 with a double B.A. in Spanish & Global Studies and obtained her Global MBA from Suffolk University in 2016. She holds the FINRA Series 66 license as well as RI & MA Life and Health Insurance Producers Licenses. She has had the opportunity to travel around the world, for both personal & academic growth. In her spare time, she enjoys traveling, dancing, and spending time with family & friends.

## **Item 3-Disciplinary Information**

There are no legal or disciplinary events for Kristina Mello.

## **Item 4- Other Business Activities**

Kristina Mellow is a licensed insurance professional, and as such, is also in the business of offering insurance products. The sale of insurance products will generate standard and customary insurance commissions which are paid to Focus Risk Solutions ("FRS"), an affiliate of SPIA. For the sale of some insurance products, Kristina Mello will be paid up to 70% of the commissions received by FRS. The receipt of compensation for the sale of insurance products presents a conflict of interest because the compensation provides an incentive to recommend insurance for the purpose of being compensated rather than solely based on your needs. As a fiduciary, Kristina Mello has a duty to make recommendations she reasonably believes are in your best interest. She mitigates the conflict of interest related to his

recommendation through disclosure and believes her recommendations are appropriate when the conflict of interest is disclosed. However, she does not consider the commissions paid to FRS, nor herself, but rather adheres to an investment discipline considering your overall financial situation. You are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with SPIA.

There are no other business activities for Kristina Mello.

### **Item 5-Additional Compensation**

Kristina Mello receives compensation for generating new clients. The compensation is based on a percentage of the investment management fee paid by the client.

As mentioned above in Item 4, for the sale of some insurance products, Kristina Mello will be paid up to 70% of the commissions received by FRS.

### **Item- 6- Supervision**

Investment decisions for all SPIA clients are made by members of the Portfolio Management Committee. SPIA's Portfolio Management Committee is led by Betsey A. Purinton, Managing Partner and Co-Chief Investment Officer and also includes Richard J. Anzelone, Managing Partner and CCO, Derek M. Amey, Managing Partner and Co-Chief Investment Officer, Aaron C. Reynolds, Associate Portfolio Manager, and Kristina M. Mello, Senior Financial Advisor and Director of Financial Planning. The Portfolio Management Committee generally meets weekly to discuss existing and prospective investments. Investments are evaluated independently as well as in the context of clients' existing holdings and sector exposures.

Kristina Mello is supervised by Managing Partners Richard J. Anzelone, Derek M. Amey and Betsey A. Purinton. They can be reached at (401) 273-1500 or [ranzelone@strategicpoint.com](mailto:ranzelone@strategicpoint.com), [damey@strategicpoint.com](mailto:damey@strategicpoint.com) and [bpurinton@strategicpoint.com](mailto:bpurinton@strategicpoint.com) respectively.