



## Privacy Policy and Practices

### What Does StrategicPoint Investment Advisors, LLC (SPIA) Do With Your Personal Information?

**WHY?** Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

**WHAT?** The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number, assets and income
- Account balances and transaction history
- Investment experience and account transactions
- Information from your employer, association, benefit plan sponsor, or other institutions where you conduct financial transactions

When you are *no longer* our customer, we continue to share your information as described in this notice.

**HOW?** All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons SPIA chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does SPIA share?	Can you limit this sharing?
For our everyday business purposes— <b>such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus</b>	Yes	No
For servicing your account with third parties— <b>such as insurance companies, mutual fund companies, affiliated advisors, banks, investment firms or technical application providers, as needed</b>	Yes	No
For our marketing purposes— <b>to offer our products and services to you</b>	Yes	No
For joint marketing with other financial companies	No	We don't share
For our affiliates' everyday business purposes— <b>information about your transactions and experiences</b>	Yes	No
For our affiliates' everyday business purposes— <b>information about your creditworthiness</b>	No	We don't share
For non-affiliates to market to you	No	We don't share

#### Questions?

Call (800) 597-5974 or visit [www.StrategicPoint.com](http://www.StrategicPoint.com)

## WHO WE ARE

### Who is providing this notice?

StrategicPoint Investment Advisors, LLC (SPIA)

## WHAT WE DO

### How does SPIA protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

**How does SPIA collect my personal information?** We collect your personal information, for example, when you:

- Open an account or give us your income information
- Tell us about your portfolio or deposit money
- Enter into an investment advisory contract
- Apply for insurance

*We also collect your personal information from other companies.*

### Why can't I limit all sharing?

Federal law gives you the right to limit only:

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

## DEFINITIONS

### Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

***Our affiliates include Focus Operating, LLC and StrategicPoint Insurance Services, LLC.***

### Non-affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies. ***SPIA does not share with non-affiliates so they can market to you.***

### Joint Marketing

A formal agreement between non-affiliated financial companies that together market financial products or services to you.

***SPIA does not jointly market.***

## OTHER IMPORTANT INFORMATION